

Applicant/Violator System Office System Advisory Memorandum



SAM # 17 DATE: January 23, 1998

Subject: RETRIEVING APPLICATIONS/PERMITS ASSOCIATED with INDIVIDUALS and COMPANIES

This System Advisory Memorandum describes the means by which users may identify the permits associated with an individual or a company.

INDIVIDUALS

AVS may be used to retrieve a list of the application/permit records associated with an individual that describe a permit-specific relationship to that individual. Permit-specific relationships consist of the permittee, the permittee's agent, a non-permittee operator in business as a sole proprietor¹ using the name of an individual, or a controller of the mining operation as defined at 30 CFR § 773.5(a)(3), (b)(3), or (b)(6).

To determine the permits with which an individual is associated, the user must first retrieve the companies in which the person is an owner or controller, using ENTITY OFT MAINTENANCE. The user may then use ENTITY MAINTENANCE or APPLICATION/PERMIT MAINTENANCE to retrieve the applications/permits for those companies.

COMPANIES AND OTHER BUSINESS ENTITIES

The application/permit records associated with a company or other business entity may be retrieved using either APPLICATION/PERMIT MAINTENANCE or

¹Users are cautioned that the entity name for a sole proprietorship is created in two ways in AVS. Some States create the business entity in the same configurations as other individual entities -- last name, first name. Other states and OSM create the business entity for a sole proprietorship in the manner used by the business entity -- first name, last name. Therefore, when searching for a sole proprietor, it is recommended that the user use ENTITY MAINTENANCE to search both ways -- last name first as well as first name first. OSM established a standard for creating sole proprietor entities. See Data Entry Standards, section J, of the AVS Users Guide.

ENTITY MAINTENANCE. Using APPLICATION/PERMIT MAINTENANCE, the search is confined to a by-State search and will only search applicant or permittee relationships. The user must first enter a State code. However, ENTITY MAINTENANCE allows the user to retrieve all application/permit records in AVS for an entity, including the applications/permits held by entities permitted in more than one State.

For more information, please refer to the above-referenced sections in the AVS Users Guide. If you have questions regarding specific relationships of companies or individuals to permits, please contact your AVS User Assistance Liaison at 1-800-643-9748.

Signed; _____

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